



CONCACAF

CODE OF ETHICS

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Preamble

CONCACAF bears a special responsibility to safeguard the integrity and reputation of football in North America, Central America and the Caribbean. CONCACAF is constantly striving to protect the image of football, and especially that of CONCACAF, from jeopardy or harm as a result of illegal, immoral and/or unethical methods and practices. The conduct of persons bound by this Code shall reflect the fact that they support the principles and objectives of CONCACAF, its associations, clubs and leagues and FIFA, in every way and refrain from anything that could be harmful to these aims and objectives. They shall respect the significance of their allegiance to CONCACAF, its associations, clubs and leagues, as well as to FIFA and represent them and behave towards them honestly, worthily, respectably and with integrity. They shall further respect the core value of fair play in every aspect of their functions. They shall assume social and environmental responsibility.

Definitions

For the purpose of this Code, the terms set out below are defined as follows:

- 1. Officials:** every board member, committee member, referee, assistant referee, fourth official, match commissioner, referee inspector, diversity officer, the person in charge of safety, coach, trainer and any other person responsible for technical, medical and administrative matters in CONCACAF, its associations, clubs or leagues, as well as all other persons obliged to comply with the CONCACAF Statutes (except players).
- 2. Players:** any football player registered with a National Association of CONCACAF.
- 3. Club:** any club that participates in leagues or competitions under the auspices of a National Association and/or a Regional Association of CONCACAF.
- 4. League:** an organization that is subordinate to a National Association of CONCACAF.
- 5. Players' agent:** a natural person who introduces players to clubs with a view to negotiating or renegotiating an employment contract or introduces two clubs to one another with a view to concluding a transfer agreement.
- 6. Match agent:** a natural person who is licensed to arrange matches between teams.
- 7. Intermediaries and related parties:**
 - a) **agents, representatives and employees;**
 - b) **spouses and domestic partners;**
 - c) **individuals sharing the same household**, regardless of the personal relationship;
 - d) **immediate family members**, i.e. such as individual's spouse or domestic partner, parents, grandparents, uncles, aunts, children, stepchildren, grandchildren, siblings, mother-in-law or father-in-law, son-in-law or daughter-in-law, brother-in-law or sister-in-law and the spouses of such persons, and including anyone else, whether by blood or otherwise, with whom the individual has a relationship akin to a family relationship;
 - e) **legal entities**, partnerships and any other fiduciary institution, if the person bound by this Code or the person receiving an undue advantage alternatively:
 - i. holds a management position within that entity, partnership or fiduciary institution;
 - ii. directly or indirectly controls the entity, partnership or fiduciary institution;
 - iii. is a beneficiary of the entity, partnership or fiduciary institution;
 - iv. performs services on behalf of such entity, partnership or fiduciary institution, regardless of the existence of a formal contract.
- 8. Association:** a football association recognized by and a member of CONCACAF.
- 9. Ethics Committee:** References to the Ethics Committee in this Code shall mean the investigatory and/or adjudicatory chamber.

I. Scope of Application

1 Scope of applicability

This Code shall apply to conduct of all persons under CONCACAF jurisdiction that damages the integrity and reputation of football and in particular to illegal and unethical behavior. The Code focuses on general conduct within association football that has little or no connection with action on the field of play.

2 Persons covered

This Code shall apply to all officials and players as well as match and players' agents under CONCACAF jurisdiction.

3 Applicability in time

This Code shall apply to conduct under CONCACAF jurisdiction, whenever it occurred, but shall not apply before the passing of the rules contained in this Code. No individual shall be sanctioned for breach of this Code on account of an act or omission which would not have contravened the Code applicable at the time it was committed nor subjected to a sanction greater than the maximum sanction applicable at the time the conduct occurred.

4 Scope of the Code, omissions, custom, doctrine and jurisprudence

1. This Code governs every subject under CONCACAF jurisdiction to which the text or the meaning of its provisions refers.
2. If there are any omissions in this Code, the CONCACAF Executive Committee shall decide in its sole and absolute discretion.
3. During all its operations, the Ethics Committee may draw on precedents and principles already established by sports doctrine and jurisprudence.

II. Substantive Law

Section 1: Basis for sanctions

5 Basis for sanctions

1. The Ethics Committee may pronounce the sanctions described in this Code, the CONCACAF Statutes, the CONCACAF Disciplinary Code and all other CONCACAF rules and regulations, as well as the FIFA Disciplinary Code, on the persons bound by this Code.

2. Unless otherwise specified, breaches of this Code shall be subject to the sanctions set forth in this Code, whether acts of commission or omission, whether they have been committed deliberately or negligently, whether or not the breach constitutes an act or attempted act, and whether the parties acted as participant, accomplice or instigator.

Section 2: Disciplinary measures

6 General

1. Breaches of this Code or any other CONCACAF rules and regulations by persons bound by this Code are punishable by one or more of the following sanctions:

- a) warning;
- b) reprimand;
- c) fine;
- d) return of awards;
- e) match suspension;
- f) ban from dressing rooms and/or substitutes' bench;
- g) ban on entering a stadium;
- h) ban on taking part in any football-related activity; and,
- i) social work.

2. The specifications in relation to each sanction in the CONCACAF Disciplinary Code and subsidiarily in the FIFA Disciplinary Code, shall also apply.

3. The Ethics Committee may recommend to the CONCACAF Executive Committee that the notification of a case be made to the appropriate law enforcement authorities.

7 Partial suspension of enforcement of sanctions

1. If a match suspension, a ban from dressing rooms and/or the substitutes' bench or a ban on taking part in any football-related activity is imposed, the adjudicatory chamber may examine whether there are grounds to partially suspend the enforcement of the sanction.
2. Partial suspension is permissible only if the duration of the sanction does not exceed six matches or six months and if the relevant circumstances allow it.
3. The adjudicatory chamber shall decide which part of the sanction may be suspended. In any case, at least one match or one month shall be definite.
4. By suspending enforcement of the sanction, the adjudicatory chamber may subject the person sanctioned to a probationary period ranging from six months up to two years.
5. If the person benefiting from a suspended sanction commits another breach during the probationary period, the suspension shall automatically be revoked and the original sanction completely applied and added to the sanction imposed for the new breach.
6. Special provisions may apply in certain circumstances.

8 Duration/Extension

The duration of a time sanction may be interrupted by rest periods during or between seasons.

Section 3: Determining the sanction

9 General rules

1. The sanction may be imposed by taking into account all relevant factors in the case, including the offender's assistance and cooperation, the motive, the circumstances and the degree of the offender's guilt.
2. The sanctions of the Ethics Committee take full effect within the entire CONCACAF region.
3. The Ethics Committee shall decide the duration of any sanction.
4. The Ethics Committee may limit sanctions to a geographical area or to one or more specific categories of match or competition if justifiable reasons exist.

10 Repeated breaches

Unless otherwise specified, the sanction may be increased as deemed appropriate if a breach has been repeated.

11 Concurrent breaches

1. Where more than one breach has been committed, the sanction shall be based on the most serious breach, and increased as appropriate depending on the specific circumstances.
2. When determining the amount of a fine, the Ethics Committee is not obliged to adhere to the general upper limit of the fine.

Section 4: Limitation period

12 Limitation period for prosecution

1. As a general rule, breaches of the provisions of this Code may no longer be prosecuted after a lapse of six years.
2. Prosecution for bribery, corruption and the unlawful influence of the course or result of matches are not subject to such a limitation period.
3. The limitation period, when applicable, shall automatically be interrupted if proceedings are opened and/or suspended. After each interruption the limitation period restarts again from the beginning.

Section 5: Rules of conduct

Subsection 1: Duties

13 General rules of conduct

1. Persons bound by this Code are expected to be aware of the importance of their duties and concomitant obligations and responsibilities.
2. Persons bound by this Code are obliged to respect all applicable laws and regulations as well as CONCACAF's and FIFA's rules, regulations and decisions to the extent applicable to them.
3. Persons bound by this Code shall show commitment to an ethical attitude. They shall behave in a dignified manner and act with complete credibility and integrity. They shall refrain from any behavior that damages, or could damage, the integrity and reputation of football.
4. Persons bound by this Code may not abuse their position in any way, especially to take advantage of their position for private or occupational aims or gains in any form.

14 Duty of neutrality

In dealings with government institutions, national and international organizations, associations and groupings, persons bound by this Code shall, in addition to observing all CONCACAF regulations and especially the basic rules of art. 13, remain politically neutral, in accordance with the principles and objectives of CONCACAF, its associations, clubs and leagues and FIFA, and generally act in a manner compatible with their function and integrity.

15 Loyalty

Persons bound by this Code shall have a fiduciary duty to CONCACAF, FIFA, other confederations, associations, clubs and leagues.

16 Confidentiality

1. Depending on their function, information of a confidential nature divulged to persons bound by this Code while performing their duties shall be treated as confidential and secret by them as an expression of loyalty, if the information is given with the understanding or communication of confidentiality or can be understood as confidential by common sense and is consistent with the CONCACAF principles.

2. The obligation to respect confidentiality survives the termination of any relationship which makes a person subject to this Code.

17 Conflicts of interest

1. When performing an activity for CONCACAF persons bound by this Code shall disclose any personal interests that could be linked with their prospective activities.

2. Persons bound by this Code shall avoid any situation where there is a clear conflicts of interest. Conflicts of interest arise if persons bound by this Code have private or personal interests that detract from their ability to perform their duties with integrity in an independent and purposeful manner. Private or personal interests include gaining any possible advantage for the persons bound by this Code themselves, their family, relatives, friends and acquaintances.

3. Persons bound by this Code may not perform their duties in cases with an existing conflict of interest. Any such conflict shall be immediately disclosed and notified to the organization for which the person bound by this Code performs his duties.

4. If an objection is made concerning an existing conflict of interest of a person bound by this Code, it shall be reported immediately to the organization for which the person bound by this Code performs his duties for consideration.

18 Duty of disclosure, cooperation and reporting

1. Persons bound by this Code shall immediately report any potential breach of this Code to the General Secretary of CONCACAF.

2. Persons bound by this Code shall always cooperate with the Ethics Committee.

3. At the request of the Ethics Committee, persons bound by this Code are obliged to contribute to clarifying the facts of the case or clarifying possible breaches and provide the evidence reasonably requested for inspection.

Subsection 2: Prohibitions

19 Forgery and falsification

Persons bound by this Code are forbidden from forging a document, falsifying an authentic document or using a forged or falsified document.

20 Offering and accepting gifts and other benefits

1. Persons bound by this Code may only offer or accept gifts or other benefits to and from persons within or outside CONCACAF, or in conjunction with intermediaries or related parties as defined in this Code, which:

- a) have symbolic or trivial value;
- b) exclude any influence for the execution or omission of an act that is related to their official activities or falls within their discretion;
- c) are not contrary to their duties;
- d) do not create any undue pecuniary or other advantage; and,
- e) do not create a conflict of interest.

Any gifts or other benefits not meeting any of these criteria are prohibited.

2. If in doubt, gifts shall not be offered or accepted. In all cases, persons bound by this Code shall not offer to or accept from anyone within or outside CONCACAF cash other than per diems or salaries.

3. Persons bound by this Code may not be reimbursed by CONCACAF for the costs associated with family members or associates accompanying them to official events, unless expressly permitted to do so by CONCACAF.

4. Persons bound by this Code must refrain from any activity or behavior that might give rise to the appearance or suspicion of improper conduct as described in the foregoing sections, or any attempt thereof.

21 Bribery and corruption

1. Persons bound by this Code must not offer, promise, give or accept any personal or undue pecuniary or other advantage in order to obtain or retain business or any other improper advantage to or from anyone within or outside CONCACAF. Such acts are prohibited, regardless of whether carried out directly or indirectly through, or in conjunction with, intermediaries or related parties as defined in this Code. In particular, persons bound by this Code must not offer, promise, give or accept any undue pecuniary or other advantage for the execution or omission of an act that is related to their official activities and is contrary to their duties or falls within their discretion. Any such offer must be reported in writing to the General Secretary of CONCACAF, who in turn will inform the CONCACAF Executive Committee. Any failure to do so may be sanctionable in accordance with this Code.

2. Persons bound by this Code are prohibited from misappropriating CONCACAF assets, regardless of whether carried out directly or indirectly through, or in conjunction with, intermediaries or related parties, as defined in this Code.

3. Persons bound by this Code must refrain from any activity or behavior that might give rise to the appearance or suspicion of improper conduct as described in the foregoing sections, or any attempt thereof.

22 Commission

Persons bound by this Code are forbidden from accepting commission or promises of such commission for themselves or intermediaries and related parties as defined in this Code for negotiating deals of any kind while performing their duties, unless the CONCACAF Executive Committee has expressly permitted them to do so. In the absence of such a body, the General Secretary of CONCACAF shall decide.

23 Non-discrimination and stance against racism

Persons bound by this Code may not offend the dignity or integrity of a country, private person or group of people through contemptuous, discriminatory or denigratory words or actions on account of age, race, skin color, ethnic, national or social origin, gender, language, religion, political opinion or any other opinion, wealth, birth or any other status, sexual orientation, physical disability or any other reason.

24 Protection of physical and mental integrity

1. Persons bound by this Code shall respect the integrity of others involved. They shall ensure that the personal rights of every individual whom they contact and who is affected by their actions is protected, respected and safeguarded.

2. Harassment is forbidden. Harassment is defined as systematic, hostile and repeated acts for a considerable duration, intended to isolate or ostracize a person and affect the dignity of the person.

3. Sexual harassment is forbidden. Sexual harassment is defined as unwelcome sexual advances that are not solicited or invited, also as a singular occurrence. The assessment is based on whether a reasonable person would regard the conduct as undesirable or offensive. Threats, the promise of advantages and coercion are particularly prohibited.

25 Integrity of matches and competitions

Persons bound by this Code must refrain from any illegal, immoral and/or unethical behavior that damages, or could damage, the integrity and reputation of football and must cooperate fully with CONCACAF and the Ethic Committee at all times in its efforts to prevent, investigate and sanction such behavior.

26 Unlawful Influence of the Course or Result of Matches

1. Anyone who attempts to conspire or conspires to influence the course or result of a match in a manner contrary to sporting ethics will be sanctioned with match suspensions or a ban on taking part in any football-related activity as well as a significant, proportionate fine, irrespective of the fact whether the breach of this provision is committed for financial gain, sports advantage or any other purpose. In serious cases, a lifetime ban on taking part in any football-related activity shall be imposed.

2. Anyone who supports someone to commit one of the actions mentioned in par. 1. above will be sanctioned in the same manner.

27 Obligation to report

1. Persons bound by this Code must immediately and unrequested inform CONCACAF about any behavior prohibited by these regulations or other CONCACAF regulations they are aware of.

2. Persons bound by this Code must immediately and unrequested report to CONCACAF about any offer made to them in relation to a behavior prohibited by these or other CONCACAF regulations, regardless whether they accepted or refused that offer. In particular, they shall immediately and voluntarily inform CONCACAF if approached in connection with activities aimed at manipulating a part of the course or the result of a match in a manner contrary to sporting ethics.

28 Prohibition of participating in the betting sector

Persons bound by this Code shall be forbidden from taking part in either directly or indirectly, or otherwise being associated with, betting, gambling, lotteries and similar events or transactions connected with football matches. They are forbidden from having stakes, either actively or passively, in companies, concerns, organizations, etc. that promote, broker, arrange or conduct such events or transactions.

29 Prohibition of disclosure and utilization of insider information

1. Persons bound by these regulations shall not use for their own or any third party's financial gain, benefit or any other purposes, information in any form which is not publicly available, if obtained through his position in football and damages or could damage the integrity of a match.

2. Persons bound by these regulations shall not disclose and provide any such information as described in par. 1. above to any third party within or outside CONCACAF for the financial gain, benefit or any other purposes of any third party.

III. Organization and Procedure

Chapter I: Organization

Section 1: Ethics Committee

30 Division of the Ethics Committee, division of proceedings

1. The Ethics Committee shall be composed of an investigatory chamber and an adjudicatory chamber.
2. Ethics Committee proceedings shall be made up of an investigation and an adjudicatory process.

Section 2: Jurisdiction, duties and competences of the Ethics Committee

31 Jurisdiction of the Ethics Committee

1. The Ethics Committee shall be entitled to handle all cases arising from the application of this Code.
2. The Ethics Committee shall be entitled to judge the conduct of all persons bound by this Code while performing their duties. In addition to the conduct of persons bound by this Code who are performing their duties, the Ethics Committee shall also judge the conduct of other persons bound by this Code at the same time if a uniform judgment is considered appropriate based on the specific circumstances.
3. The Ethics Committee shall reserve the right to investigate and to judge the conduct of all persons bound by this Code even if they are not performing their duties if such conduct is likely to seriously damage the integrity, image or reputation of CONCACAF.
4. The Ethics Committee shall be entitled to investigate and to judge the conduct of all persons bound by this Code if the case on which the alleged violation is based is affecting various associations within CONCACAF and is not judged at national level.
5. The Ethics Committee shall also be entitled to investigate and to judge national cases if associations within CONCACAF and other sports organizations fail to prosecute such breaches.

32 Duties and competences of the investigatory chamber

1. The investigatory chamber may investigate potential breaches of provisions of this Code once a complaint has been filed with the General Secretary of CONCACAF and forwarded to the Ethics Committee.
2. If the investigatory chamber deems that there is no prima facie case, it may close the case without referring it to the adjudicatory chamber. The investigatory chamber shall document all facts in an initial report for the records.
3. If a prima facie case is found, the investigatory chamber shall open investigation proceedings and conduct appropriate inquiries. The chamber shall examine aggravating and mitigating circumstances equally.
4. The investigatory chamber shall inform the parties that investigation proceedings have been opened after a prima facie case has been found. Limited exception to this rule may be made for safety and security or if such disclosure would interfere with the conduct of the investigation.
5. Once the investigation has been completed, the investigatory chamber shall prepare a final report on the investigation proceedings and forward this together with the investigation files to the adjudicatory chamber and one or more members of the investigatory chamber shall

present the case before the adjudicatory chamber if a hearing is conducted. If a recommendation is made for sanctioning, the relevant party's sanctionable conduct and possible rule breaches are to be indicated in the final report.

6. If proceedings have been closed, the investigatory chamber may at any time reopen the investigation if new facts or evidence come to light that suggest a potential breach.

33 Duties and competences of the adjudicatory chamber

1. The adjudicatory chamber shall review the investigation files forwarded by the investigatory chamber and decide whether to close proceedings or to adjudicate the case.

2. The adjudicatory chamber may at any time return the investigation files to the investigatory chamber and instruct it to expand the investigation and/or add to the final report.

3. The adjudicatory chamber may undertake further investigations. The chamber shall investigate aggravating and mitigating circumstances equally.

4. The adjudicatory chamber shall send the final report together with the investigation files to the parties and ask for their submissions within a reasonable period of time.

34 Jurisdiction of the chairman ruling alone

The chairman of the adjudicatory chamber may take the following decisions alone:

- a) suspend a person for up to three matches or for up to two months;
- b) ban a person from taking part in any football-related activity for up to two months;
- c) impose a fine of up to USD 10,000;
- d) pronounce, alter and annul provisional measures (cf. art. 91 ff.).

Section 3: Common rules for the investigatory and adjudicatory chambers

35 Composition of the investigatory and adjudicatory chambers

1. The investigatory and the adjudicatory chambers shall each comprise of a chairman, a deputy chairman and nine members.

2. Any case before the investigatory or adjudicatory chambers shall be heard by a panel comprising the chairman, the deputy chairman and three members. To the extent possible, the CONCACAF Unions shall be equitably represented among the members called to compose each panel.

3. The composition of the panels of the investigatory and the adjudicatory chambers shall be decided by the CONCACAF Executive Committee, in accordance with the provisions of this article.

4. The parties shall be informed of the composition of the panels.

5. Subject to art. 34, the quorum of any panel of the investigatory or adjudicatory chambers shall be three members.

36 Deputizing

If the chairman of either chamber is prevented from acting (whether due to personal or factual circumstances), the deputy chairman shall replace him. In the event that the deputy chairman is also prevented from acting, a member of the relevant chamber shall replace him.

37 Secretariats

1. The General Secretariat of CONCACAF shall provide both the investigatory and adjudicatory chambers with a secretariat with the necessary staff.
2. The General Secretariat of CONCACAF shall designate the secretaries of each chamber.
3. The secretaries shall take charge of the administrative and legal work related to proceedings and provide support to the investigatory and adjudicatory chambers for the completion of their respective tasks; in particular, they shall write the minutes, final reports and decisions.
4. The secretaries shall take care of the filing of the case files, which must be kept for at least six years and shall be kept confidential.
5. The secretaries shall act on the sole instructions of the investigatory and adjudicatory chambers or the General Secretary of CONCACAF. They have the duty to immediately report any instructions received by any other persons or bodies to the chairman of the respective chamber or the General Secretary of CONCACAF.

38 Independence

1. The members of the Ethics Committee shall manage their investigations and proceedings and render their decisions entirely independently and must avoid any third-party influence.
2. The members of the Ethics Committee and their immediate family members (as defined in this Code) may not belong to any other CONCACAF judicial body, the Executive Committee or another standing committee of CONCACAF.
3. The members of the Ethics Committee may not belong to any other CONCACAF bodies.

39 Withdrawal

1. A member of the Ethics Committee shall immediately decline to participate in any investigation or hearing concerning a matter where there are serious grounds for questioning his impartiality.
2. The foregoing shall apply, in particular, in the following cases:
 - a) if the member in question has a direct interest in the outcome of the matter;
 - b) if he has a personal bias or prejudice concerning a party, or personal knowledge of disputed evidentiary facts concerning the proceedings; or has expressed an opinion concerning its outcome; or when the immediate family of the member is a party to the subject matter in controversy or is a party to the proceedings, or has any other interest that could be substantially affected by the outcome of the proceedings and his impartiality;
 - c) if he has the same nationality as the party implicated or under investigation;
 - d) if he has already dealt with the case in a different function.
3. Members who decline to participate in a meeting shall notify the chairman immediately.

4. An objection against a member of the Ethics Committee believed to be biased must be submitted within five days following the identification of the grounds for non-participation, failing which, such objection shall be deemed waived. The claim must cite the grounds and, if possible, be substantiated.

5. The chairman of the relevant chamber shall decide whether any such claim is valid if the member in question has not declined to participate of his own accord. If the objection is against the chairman, the present panel of the respective chamber shall decide.

40 Confidentiality

1. The members of the Ethics Committee and the members of the secretariats shall ensure that everything disclosed to them during the course of their duty remains confidential, in particular, facts of the case, contents of the investigations and deliberations and decisions taken as well as private personal data. Equally, the members of the Ethics Committee shall not make any declarations related to ongoing proceedings dealt with by the Ethics Committee.

2. Only the final decisions already notified to the addressees may be made public.

3. In the event of a breach of this article by a member of the Ethics Committee, the relevant member shall be immediately suspended by the CONCACAF Disciplinary Committee until the next CONCACAF Congress.

41 Exemption from liability

Except in the case of gross negligence, neither the members of the Ethics Committee nor the secretariat staff may be held personally liable for any deeds relating to any procedure.

Chapter II: Procedure

Section 1: Procedural rules

Subsection 1: General rules

a) Parties

42 Parties

Only the accused are considered as parties.

b) Rights of the parties

43 Right to be heard

1. The parties shall be granted the right to be heard, the right to present evidence, the right for evidence leading to a decision to be inspected, the right to access files and the right to a reasoned decision.

2. The right to be heard may be restricted in exceptional circumstances, such as when confidential matters need to be safeguarded, witnesses need to be protected or if it is required to establish the elements of the proceedings.

44 Representation and assistance

1. The parties may have legal representation at their own cost and expense.
2. If they are not required to appear personally, the parties may be represented by a legal counsel or another person.
3. The parties are free to choose their own legal counsel or representation.
4. The Ethics Committee may request that the parties' representatives submit a duly signed power of attorney.

c) Obligation of the parties**45 Obligation of the parties to collaborate**

1. The parties shall be obligated to act in good faith during the whole proceedings.
2. The parties shall be obligated to collaborate to establish the facts of the case. In particular, they shall comply with requests for information from the investigatory chamber and the adjudicatory chamber of the Ethics Committee and with an order to appear in person.
3. Whenever necessary, the parties' statements may be verified using the appropriate means.
4. If the parties are dilatory in responding, the chairman of the appropriate chamber may, after warning them, impose further disciplinary measures contemplated in this Code on them.
5. If the parties fail to collaborate, the investigatory chamber may prepare a final report using the file in its possession or the adjudicatory chamber may reach a decision on the case using the file in its possession, taking into account the conduct of the parties to the proceedings.

d) General obligations**46 General obligation to collaborate**

1. At the request of the Ethics Committee, the persons bound by this Code are obliged to contribute to establishing the facts of the case and, especially, to provide written or oral information as witnesses. A failure to cooperate may lead to a sanction in accordance with this Code.
2. Witnesses are obliged to tell the absolute and whole truth and to answer the questions put to them to the best of their knowledge and judgment.
3. If the witnesses are dilatory in responding, the chairman of the appropriate chamber may, after warning them, impose further disciplinary measures on them.

47 Witnesses

All persons called to testify before the bodies of the Ethics Committee are bound to tell the truth and answer in all good conscience any questions that they are asked.

48 Hearings

1. Hearings before the Ethics Committee are recorded and archived. Recordings are destroyed after a time period of six years.

2. The bodies of the Ethics Committee may hold hearings and take decisions in the absence of one or all of the parties.

49 Disorderly conduct

1. Any participant whose conduct disrupts the orderly course of proceedings may be reprimanded, fined up to USD 5,000 and/or excluded from the hearing by the chairman.

2. Disorderly conduct penalties (i.e. penalties for contempt) are incontestable. With the exception of reprimands, such penalties must be recorded, with the reasons stated, in the decision.

50 Languages used in proceedings

1. The languages used in proceedings shall be the three official languages of CONCACAF (English, French and Spanish). The body and parties may choose any of these languages.

2. CONCACAF may, if necessary, use the services of an interpreter.

3. Decisions shall be taken in one of the languages used by the association concerned or the association to which the person concerned belongs. Efforts will be made to use the association's first language, whenever possible.

51 Notification of decisions

1. Decisions shall be communicated by email, fax or registered letter.

2. All of the parties shall be notified of the decisions.

52 Effect of decisions

1. Decisions passed by the Ethics Committee shall come into force as soon as they are communicated.

2. The Ethics Committee may rectify any obvious errors at any time.

Subsection 2: Proof

53 Various types of proof

1. Any type of proof may be produced.

2. Types of proof include, in particular:

a) Documents;

b) Reports from officials;

c) Declarations from the parties;

- d) Declarations from witnesses;
- e) Audio and video recordings;
- f) Expert opinions; and,
- g) All other proof that is relevant to the case.

54 CONCACAF reports

Facts contained in official CONCACAF reports are presumed to be accurate. Proof of their inaccuracy may, however, be provided.

55 Anonymous witnesses

1. When a person's testimony in ethics proceedings opened in accordance with this Code could endanger his life or put him or his family or close friends in physical danger, the chairman of the competent chamber or his deputy may order that:

- a) the witness not be identified in the presence of the parties;
- b) the witness not appear at the hearing;
- c) all or some of the information that could be used to identify the witness be included only in a separate, confidential case file.

2. In view of all the circumstances and in particular if no other evidence is available to corroborate that of the anonymous witness and if it is technically possible, the chairman of the competent chamber or his deputy may exceptionally order, on his own initiative or at the request of one of the parties, that:

- a) the witness's voice be distorted;
- b) the witness's face be masked;
- c) the witness be questioned outside the courtroom;
- d) the witness be questioned in writing through the chairman of the competent chamber or his deputy.

3. Disciplinary measures on basis of this Code shall be imposed by the Ethics Committee on anyone who reveals the identity of an anonymous witness or any information that could be used to identify him.

4. In the event of a breach of this article by a member of the Ethics Committee, the relevant member shall be immediately suspended by the CONCACAF Disciplinary Committee until the next CONCACAF Congress.

56 Identification of anonymous witnesses

1. To ensure their safety, anonymous witnesses shall be identified behind closed doors in the absence of the parties. This witness identification shall be conducted by the chairman of the competent chamber alone, his deputy or all the members of the competent chamber together, and shall be recorded in minutes containing the witness's personal details.

2. These minutes shall not be communicated to the parties.

3. The parties shall receive a brief notice which:

- a) confirms that the anonymous witness has been formally identified; and,
- b) contains no details that could be used to identify the anonymous witness.

57 Inadmissible evidence

Proof that violates human dignity or obviously does not serve to establish relevant facts shall be rejected.

58 Evaluation of proof

The Ethics Committee shall have absolute discretion regarding proof.

59 Standard of proof

The members of the Ethics Committee shall judge and decide on the basis of their personal convictions.

60 Burden of proof

The burden of proof regarding breaches of provisions of the Code rests on the Ethics Committee.

Subsection 3: Time limits

61 Beginning and end of time limit

1. Time limits notified directly to the party or to a representative appointed by the party shall commence the day after receipt of the notification.
2. Time limits to which other persons are required to adhere shall commence four days after receipt of the document by the association responsible for forwarding it, except when the document is also or solely sent to the person concerned or his legal representative. If the document was also or solely sent to these persons or their legal representatives, the time limit shall commence on the day after receipt of the document in question.
3. If the last day of the time limit coincides with a public holiday in the place of domicile of the person required to comply with the document by a certain deadline, the time limit shall expire on the next working day.

62 Compliance

1. The time limit has been met only if the action required has been carried out before expiry of the time limit.
2. The document must be submitted to the relevant body by no later than midnight on the last day of the time limit.
3. If the document is sent by fax, the time limit has been met if the document reaches the body on the last day of the time limit and the original document reaches it within another five days.
4. Parties are not permitted to observe time limits by sending electronic mail.

5. Costs and fees payable are considered to have been paid in time if the payment has irreversibly been made to CONCACAF's account by midnight on the last day of the time limit.

63 Extension

1. Time limits set forth in this Code may not be extended.

2. Time limits set by the Ethics Committee may be extended upon request. A time limit may only be extended for a second time in exceptional circumstances and shall be made in writing.

3. If an extension of the time limit is refused, two extra days may be granted. In emergencies, the refusal to grant the extension may be announced orally. The orally refusal shall be recorded in the file.

Subsection 4: Suspension of proceedings

64 Suspension of proceedings

1. In the event that a person bound by this Code ceases to serve in his function during proceedings, the Ethics Committee shall remain competent to render a decision.

2. In the event that a person bound by this Code ceases to serve in his function, the investigatory chamber may conduct the investigation, create a final report and hand it over to the adjudicatory chamber. The adjudicatory chamber may suspend the proceedings or take a decision as to the substance.

Subsection 5: Procedural costs

65 Procedural costs

The procedural costs are made up of the costs and expenses of the investigation and adjudicatory proceedings.

66 Procedural costs in case of closure of proceedings or acquittal

1. Except as otherwise provided herein, in the event of closure of proceedings or acquittal, the procedural costs shall be borne by CONCACAF.

2. A party may be ordered to pay the procedural costs in part or in full in the event of closure of proceedings or acquittal if he culpably caused the proceedings to be initiated or hindered the conduct of the proceedings.

67 Procedural costs if sanctions are imposed

1. Procedural costs shall be borne by the party that has been sanctioned.

2. If more than one party is sanctioned, the procedural costs shall be assessed proportionally in accordance with the degree of guilt of the parties.

3. Part of the procedural costs, in particular the costs of the investigation proceedings, may be borne by CONCACAF, as appropriate in respect of the imposition of sanctions.

4. The procedural costs may be reduced or waived in exceptional circumstances, in particular taking into account the party's financial circumstances.

68 Procedural compensation

No procedural compensation shall be awarded in proceedings conducted by the Ethics Committee.

Section 2: Investigation proceedings

Subsection 1: Preliminary proceedings

69 Right to submit complaints

1. Any person bound by this Code may file a complaint regarding potential breaches of this Code with the General Secretary of CONCACAF. Complaints must be submitted in writing, including available evidence. The General Secretary shall inform the chairman of the investigatory chamber of the complaints.
2. There is no entitlement for proceedings to be opened following submission of a complaint.
3. Any person who lodges a complaint against a person who he knows to be innocent, with the intent of harming this person, or in another way takes malicious steps with the intent of harming this person who he knows to be innocent shall be sanctioned by the Ethics Committee on the basis of this Code.

70 Inquiries by the secretariat of the investigatory chamber

1. The secretariat of the investigatory chamber shall carry out an initial evaluation of the documents submitted with the complaint.
2. If there is any indication of a potential breach, the secretariat shall conduct the appropriate preliminary investigation. This shall include, in particular, collecting written information, requesting documents and obtaining witness statements.
3. The secretariat of the investigatory chamber may initiate preliminary investigations into a potential breach of this Code based on a filed complaint and after having informed the chairman of the investigatory chamber.

71 Opening of investigation proceedings

1. If the documents submitted with the complaint or the preliminary investigation are found to establish a prima facie case, the chairman of the investigatory chamber shall open investigation proceedings.
2. The parties shall be notified of the opening of the investigation proceedings and the possible rule violation.
3. The chairman of the investigatory chamber shall report to the investigatory chamber regularly on non-opened cases.

Subsection 2: Initiation and conduct of investigation proceedings

72 Initiation of investigation

1. The chairman of the investigatory chamber shall decide on the initiation of investigation proceedings.
2. Grounds shall be given for the initiation of investigation proceedings and such decision may be contested.

3. For every accused a separate individual file shall be opened.

73 Conduct of proceedings

The chairman of the investigatory chamber shall lead the investigation proceedings himself as the chief of the investigation, or shall assign this role to the deputy chairman or a member of the investigatory chamber. This person shall be designated the chief of the investigation.

74 Competences of the chief of the investigation

1. With the assistance of the secretariat, the chief of the investigation shall investigate by means of written inquiries and written or oral questioning of the parties and witnesses. He may also undertake any further investigative measures relevant to the case; in particular, he may verify the authenticity of documents relevant to the investigation by procuring affidavits.

2. The chief of the investigation may ask the chairman of the investigatory chamber to assign additional members of the investigatory chamber to conduct the investigation alongside him. If the chairman is acting as chief of the investigation, he may decide by himself.

3. In complex cases, the chief of the investigation may request the chairman of the investigatory chamber to engage third parties – under the leadership of the chief of the investigation – with investigative duties. The inquiries to be made by such third parties must be clearly defined in writing. If the chairman is acting as chief of the investigation, he shall decide by himself.

4. If the parties and the other persons bound by this Code fail to cooperate in establishing the facts of the case, the chief of the investigation may request the chairman of the investigatory chamber to impose a warning, and in case of recurrence, to impose disciplinary measures. If the chairman is acting as chief of the investigation, the deputy chairman shall decide.

Subsection 3: Conclusion of investigation proceedings

75 Conclusion of investigation proceedings

If the chief of the investigation considers the investigation to be adequate, he shall inform the parties that the investigation proceedings have been concluded and that the final report, together with the investigation files, shall be referred to the adjudicatory chamber.

76 Final report

The final report shall contain all the facts and gathered evidence and mention the possible rule violation and a recommendation to the adjudicatory chamber for taking the appropriate measures.

Section 3: Adjudicatory proceedings

Subsection 1: Closure of proceedings or preparation of hearing

77 Examination of the file

1. The chairman of the adjudicatory chamber shall examine the final report and investigation files together with the secretary.

2. If the chairman of the adjudicatory chamber deems that there is insufficient evidence to proceed, he may close the case. The reasons shall be expressly stated in the file.

3. If necessary, the chairman of the adjudicatory chamber may return the final report to the investigatory chamber for amendment or completion or may undertake further investigations.
4. If the chairman of the adjudicatory chamber deems that the final report is complete, he shall proceed with the adjudicatory proceedings.

78 Submissions by the parties

1. In the event that the chairman of the adjudicatory chamber decides to proceed with the adjudicatory proceedings, he shall send the final report together with the investigation files to the parties.
2. The chairman of the adjudicatory chamber shall set a time limit for the parties to submit positions containing a statement of defense, any defense of lack of jurisdiction, any evidence and substantiated motions for the admission of evidence upon which the parties intend to rely, and a motivated request for a hearing including witnesses whom the parties intend to call. The parties shall submit a brief summary of the witnesses' expected testimony together with the position.

79 Rejection of motions for the admission of evidence

1. The chairman of the adjudicatory chamber may reject the substantiated motions for the admission of evidence submitted by the parties.
2. The parties shall be informed if their motion has been rejected, with a brief outline of the grounds for the decision. The rejection may be contested.

80 Further evidence

1. The chairman of the adjudicatory chamber may himself order additional evidence to be taken and witnesses to be summoned to the hearing. Evidence that has already been taken may be taken again if direct knowledge of the piece of evidence is deemed necessary for a decision to be taken.
2. The chairman of the adjudicatory chamber shall inform the parties of the additional evidence and witnesses.

Subsection 2: Oral statements

81 Oral statements, principles

1. As a general rule, there shall be no oral statements and the adjudicatory chamber shall decide on the basis of the file.
2. At the motivated request of one of the parties, the adjudicatory chamber may arrange for oral statements to be heard, to which all the parties shall be summoned.
3. In its discretion, the adjudicatory chamber may arrange for oral statements to be heard, to which all the parties shall be summoned.
4. Oral statements shall always be heard behind closed doors and shall be recorded.

82 Oral statements, procedure

1. The chairman of the adjudicatory chamber shall conduct the hearing and decide on the sequence of the oral statements.

2. It is the responsibility of the parties to ensure the appearance of the witnesses requested by them and to pay all costs and expenses associated with their appearance.
3. Once the hearing of evidence has ended, the investigatory chamber shall present the case.
4. Once the case has been presented by the investigatory chamber, the parties shall make their oral statements.
5. The oral statements shall terminate with the parties' closing statements.
6. The chairman of the adjudicatory chamber shall allow the person against whom proceedings are being conducted a final opportunity to speak.

Subsection 3: Decisions

83 Deliberations

1. After the hearing, the adjudicatory chamber shall withdraw to deliberate on its decision in private.
2. If there is no hearing, the chairman shall schedule the deliberations. The parties shall be informed to this effect.
3. If circumstances permit, the deliberations and decision-taking may be conducted via telephone conference, video conference or any other similar method.
4. Deliberations shall be conducted without interruption, unless there are exceptional circumstances.
5. The chairman shall decide in which order the various questions will be submitted for deliberation.
6. The members present shall express their opinions in the order set out by the chairman, who always speaks last.
7. The secretary has consultative powers.

84 Taking the decision

1. Decisions shall be taken by the majority of the members present.
2. Every member present shall vote.
3. In the event of a tied vote, the chairman shall have the casting vote.

85 Grounds for decision

1. The Ethics Committee shall communicate the grounds of a decision and the terms of the decision.
2. The time limit to lodge an appeal, where applicable, begins upon receipt of this reasoned decision.

86 Form and contents of the decision

1. The decision shall contain:

- a) the composition of the panel;
- b) the names of the parties;
- c) the date of the decision;
- d) a summary of the facts;
- e) the grounds of the decision;
- f) the provisions on which the decision was based;
- g) the terms of the decision;
- h) notice of the channels for appeal.

2. The decisions shall be signed by the chairman or the secretary.

87 Extending sanctions to have worldwide effect

If the infringement is serious, the decision of the Ethics Committee should in accordance with the FIFA Disciplinary Code be sent to FIFA in order to be extended to have worldwide effect. Therefore the following conditions should be met:

- a) Copy of the decision in one of the four official FIFA languages;
- b) Request for extension;
- c) Identification of the person who was sanctioned (complete name, club, and date of birth);
- d) The proof of the notification of the decision of the CONCACAF Ethics Committee (fax confirmation, post confirmation, email confirmation);
- e) Clear indication of the infringement committed by the sanctioned person;
- f) Proof that the right to be heard was respected (in the text of the decision).

Section 4: Appeal and review

88 Appeal

1. An appeal may be lodged by the party concerned, having a legally protected interest justifying amendment or cancellation of the decision, to the CONCACAF Appeal Committee against any decision, with the exception of decisions in which the sanction pronounced is:

- a) a warning;
- b) a reprimand;
- c) a suspension for less than three matches or of up to two months; or
- d) a fine of less than USD 2,000.

2. Further provisions relating to lodging an appeal and proceedings before the Appeal Committee are set out in CONCACAF 's Disciplinary Code.

3. Decisions on costs are final and may not be appealed.

89 Court of Arbitration for Sport

Decisions taken by the CONCACAF Appeal Committee are final, subject to appeals lodged with the Court of Arbitration for Sport (CAS) in accordance with the relevant provisions of the CONCACAF Statutes.

90 Review

1. The investigatory chamber of the Ethics Committee may reopen a case that has been closed following a legally binding decision if a party discovers significant new facts or proof that, despite the investigation, could not have been produced sooner and would have resulted in a more favorable decision.

2. A request for review shall be made in writing by the party concerned within fifteen days of discovering the reasons for review, or it will not be admitted.

3. The limitation period for submitting a request for review is one year after the enforcement of the decision.

Section 5: Provisional measures

91 Conditions and jurisdiction

1. At the request of the chairman of the investigatory chamber or the chief of the investigation, the chairman of the adjudicatory chamber may take provisional measures (e.g. provisional sanctions) if a breach of the Code of Ethics appears to have been committed and a decision on the main issue may not be taken early enough.

2. The chairman of the adjudicatory chamber may also issue provisional measures for preventing interference with the establishment of the truth. He may delegate the decision on the adoption of provisional measures to the panels of the adjudicatory chamber.

92 Procedure

1. The chairman of the adjudicatory chamber may summon the parties to a hearing at short notice or set them a short time limit in which to submit written statements. Such notice shall not be less than seven days.

2. The chairman of the adjudicatory chamber shall not make his decision on the basis of the case files available to him, without first hearing the parties, in which case the parties shall be summoned to a hearing or invited to submit written statements. After hearing the parties, the chairman of the adjudicatory chamber shall make his decision.

3. The decision shall include details of procedural costs.

93 Duration

1. Provisional measures may be valid for a maximum of 90 days. In exceptional circumstances, the provisional measures may be extended by the chairman of the adjudicatory chamber for an additional period not to exceed 45 days.

2. The duration of provisional sanctions shall be taken into account in the final decision.

94 Appeal against provisional measures

1. Any appeal against a decision regarding provisional measures shall be lodged with the chairman of the CONCACAF Appeal Committee.
2. The time limit for lodging the appeal is ten days, commencing from the notification of the decision.
3. The petition of appeal shall be sent directly to CONCACAF by fax or email or courier letter within the same time limit.
4. The appeal shall not have suspensive effect.
5. Further provisions on lodging an appeal and proceedings before the CONCACAF Appeal Committee are set out in CONCACAF's Disciplinary Code.

95 Transitory

The CONCACAF Executive Committee will solely decide on the integration and composition of the Ethics Committee while the CONCACAF Statutes are amended to properly regulate the subject.

96 Official languages

1. This Code exists in the official CONCACAF languages (English, French and Spanish).
2. In the event of any discrepancy between the three texts, the English version shall be authoritative.

97 Adoption and enforcement

This Code was adopted at the CONCACAF Extraordinary Congress held in Sao Paulo, Brazil on 10 June 2014, and comes into force with immediate effect.

Sao Paulo, June 2014